Grindon and Thorpe Thewles Parish Council

Parish Clerk: Chris Chapman
Grindon Parish Hall
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Thorpe Thewles
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May 2025

The Annual Meeting of Grindon & Thorpe Thewles Parish Council will take place at 6.30pm on Tuesday 13 May 2025 at Grindon Parish Hall, Durham Road, Thorpe Thewles.

AGENDA / ORDER OF BUSINESS

1. Election of Chair
2. Election of Vice-Chair
3. Signing of Acceptance of Office Forms (as appropriate)
4. Declarations of Interest
5. Apologies for Absence
6. Formation of Sub-Committees:
   - (i) Village Improvement and Maintenance Committee
   - (ii) Personnel Committee
   - (iii) Social Activities Committee
7. Adoption of Standing Orders & Financial Regulations (one small amendment proposed)
8. Adoption of Policies (no changes proposed)
9. Annual Accounts (draft circulated April meeting)
10. Banking Arrangements – (appointed Unity Trust Bank in 2024)
11. Asset Register (attached)
12. Risk Register (attached)
13. Insurance Cover: (Renewal Quote from Zurich Municipal)
14. Annual Governance and Accountability Return (AGAR) (draft circulated April meeting)
15. Appointment of Internal Auditor (verbal update to be provided)

GRINDON & THORPE THEWLES PARISH COUNCIL

FINANCIAL REGULATIONS

1. GENERAL

1.1 These financial regulations govern the conduct of financial management by the Parish Council (hereafter referred to as 'the Council') and may only be amended or varied by resolution of the said Council. The Council is responsible in law for ensuring that its financial management is adequate and effective, and that the council has a sound system of financial control which facilitates the effective exercise of the council's functions, including arrangements for the management of risk and for the prevention and detection of fraud and corruption. These financial regulations are designed to demonstrate how the council meets these responsibilities.

1.2 The Responsible Financial Officer (RFO) is a statutory office and shall be appointed by the Council. [The Clerk has been appointed as RFO for this Council and these regulations will apply accordingly.] The RFO, acting under the policy direction of the Council, shall administer the Council's financial affairs in accordance with proper practices. The RFO shall determine on behalf of the Council its accounting records, and accounting control systems. The RFO shall ensure that the accounting control systems are observed and that the accounting records of the council are maintained and kept up to date in accordance with proper practices.

1.3 The RFO shall produce financial management information as required by the council.

1.4 At least once a year, prior to approving the Annual Governance and Accountability Return, the Council shall conduct a review of the effectiveness of its system of internal control which shall be in accordance with proper practices.

1.5 In these financial regulations, references to the Accounts and Audit Regulations shall mean the Regulations issued under the provisions of section 27 of the Audit Commission Act 1998 and then in force.

1.6 In these financial regulations the term 'proper practice' or 'proper practices' shall refer to guidance issued in Governance and Accountability in local Councils in England and Wales – a Practitioners' Guide which is published jointly by NALC and SLCC and updated from time to time.

2. ANNUAL ESTIMATES (BUDGET)

2.1 The Council shall formulate budget proposals in respect of revenue and capital including the use of reserves and sources of funding for the following financial year not later than the end of December each year.

2.2 Detailed estimates of all receipts and payments including the use of reserves and all sources of funding for the year shall be prepared each year by the RFO in the form of a budget to be considered by the council.

2.3 The Council shall review the budget not later than the end of December each year and shall fix the Precept to be levied for the ensuing financial year. The RFO shall issue the precept to the billing authority and shall supply each member with a copy of the approved budget.

2.4 The annual budgets shall form the basis of financial control for the ensuing year.

3 BUDGETARY CONTROL

3.1 The RFO shall provide the Council with a statement of all financial transactions undertaken since the previous report (as a minimum for each formal meeting of the Council), together with a comparison of actual income & expenditure to date against the annual budget.

3.2 The Clerk / Councillors may incur expenditure on behalf of the Council on approved items in line with the budget, where such items have been approved by the Council. This may be on approved Social activities, Maintenance activities or administrative costs.

3.3 The Clerk may incur expenditure on behalf of the Council which is necessary to carry out any repair replacement or other work which is of such extreme urgency that it must be done at once, whether or not there is any budgetary provision for the expenditure, subject to a limit of £100 in each instance.

3.3 The Chair may incur expenditure up to £100 between meetings of the Council on matters relevant to the Council's approved budget; any such expenditure shall be reported at the meeting immediately following the incurring of any such expenditure and recorded in the minutes.

3.4 The Council's Maintenance Group may incur expenditure up to £100 per job on general village maintenance, up to a maximum of £200 in any one period, subject always to budgetary constraints; any such expenditure shall be reported at the meeting immediately following the incurring of any such expenditure and recorded in the minutes.

3.5 Unspent provisions in the revenue budget shall not be carried forward to a subsequent year unless placed in an earmarked reserve by resolution of the council.

3.6 All capital works shall be administered in accordance with the Council's standing orders and financial regulations relating to contracts.

4. ACCOUNTING AND AUDIT

4.1 All accounting procedures and financial records of the Council shall be determined by the RFO in accordance with the Accounts and Audit Regulations.

4.2 The RFO shall complete the annual financial statements of the Council, including the council's annual financial returns, as soon as practicable after the end of the financial year and shall submit them and report thereon to the Council.

4.3 The RFO shall complete the Accounts of the Council contained in the Annual Governance and Accountability Return (AGAR) (as supplied by the Auditor appointed from time to time by the Audit Commission) and shall submit the AGAR for approval and authorisation by the Council within the time-scales set by the Accounts and Audit Regulations.

4.4 The RFO shall ensure that there is adequate and effective system of internal audit of the Council's accounting, financial and other operations in accordance with proper practices. Any member of the Council shall, if the RFO or Internal Auditor requires, make available such documents of the Council which appear to the RFO or Internal Auditor to be necessary for the purpose of the internal audit and shall

supply the RFO or Internal Auditor with such information and explanation as the RFO or Internal Auditor considers necessary for that purpose.

4.5 The Internal Auditor shall be appointed by and shall carry out the work required by the council in accordance with proper practices. The Internal Auditor, who shall be competent and independent of the operations of the Council, shall report to Council in writing, or in person, on a regular basis with a minimum of one annual written report in respect of each financial year. In order to demonstrate objectivity and independence, the internal auditor shall be free from any conflicts of interest and have no involvement in the financial decision making, management or control of the council.

4.6 The RFO shall make arrangements for the opportunity for inspection of the accounts, books, and vouchers and for the display or publication of any Notices and statements of account required by Audit Commission Act 1998 and the Accounts and Audit Regulations.

4.7 The RFO shall, as soon as practicable, bring to the attention of all councillors any correspondence or report from the Internal or External Auditor, unless the correspondence is of a purely administrative matter.

5. BANKING ARRANGEMENTS AND ~~CHEQUES~~PAYMENTS

5.1 The Council's banking arrangements, including the Bank Mandate, shall be made by the RFO and approved by the Council. They shall be regularly reviewed for efficiency.

5.2 At the first meeting following the Annual Council Meeting each year, Council shall agree the names of at least three payment authorisers ~~cheque signatories~~ and this will be recorded in the minutes.

5.3 The RFO shall ensure the bank mandate is amended, as soon as is possible following the Annual Council meeting, to ensure that only those members so authorised are approved signatories.

5.4 ~~Cheques drawn on the bank account shall be signed by two of the authorised signatories.~~

5.5 Payments to be made via on-line banking shall be submitted by the RFO and authorised by two signatories.

5.6 All monies received by the Council shall be banked within 5 working days, as far as is practicable.

6 PAYMENT OF ACCOUNTS

6.1 All invoices for payment shall be examined, verified and certified by the Clerk. The Clerk shall satisfy themself that the work, goods or services to which the invoice relates shall have been received, carried out, examined and approved.

6.3 The RFO shall examine invoices in relation to arithmetic accuracy and shall analyse them to the appropriate expenditure heading. The Clerk shall take all steps to settle all invoices submitted, and which are in order, and report to the available Council Meeting.

6.4 The RFO shall ensure that all invoices relating to an item that is the subject of grant aid are submitted to the grant making body is a timely manner and that proper records are maintained of all such expenditure, and also reported to Council.

7 PAYMENT OF SALARIES

7.1 As an employer, the council shall make arrangements to meet fully the statutory requirements placed on all employers by PAYE and National Insurance legislation. The payment of all salaries shall be made in accordance with payroll records and the rules of PAYE and National Insurance currently operating, and salaries shall be as agreed by Council.

7.2 Payment of salaries and payment of deductions from salary such as may be made for tax, national insurance and pension contributions, may be made in accordance with the payroll records and on the appropriate dates stipulated in employment contracts, provided that each payment is recorded and reported to Council.

8 LOANS AND INVESTMENTS

8.1 All loans and investments shall be negotiated by the RFO in the name of the Council. All changes to loans and investments should be reported to the Council at the earliest opportunity.

8.2 All investments of money under the control of the Council shall be in the name of the Council.

8.3 All borrowings shall be effected in the name of the Council, after obtaining any necessary borrowing approval. Any application for borrowing approval shall be approved by Council as to terms and purpose.

8.5 All investment certificates and other documents relating thereto shall be retained in the custody of the RFO.

9 INCOME

9.1 The collection of all sums due to the Council shall be the responsibility of and under the supervision of the RFO.

9.2 The Council will review all fees and charges annually, following a report of the Clerk.

9.4 Any sums found to be irrecoverable, and any bad debts shall be reported to the Council and shall be written off in the year.

9.5 Personal cheques shall not be cashed out of money held on behalf of the Council.

9.6 The RFO shall promptly complete any VAT Return that is required. Any repayment claim due in accordance with VAT Act 1994 section 33 shall be made at least annually coinciding with the financial year end.

10 ORDERS FOR WORK, GOODS AND SERVICES

10.1 An official order or letter shall be issued for all work, goods and services, other than those relating to general village maintenance undertaken by the Chair or Council's Maintenance Group, unless a formal contract is to be prepared or an official order would be inappropriate. Copies of orders shall be retained by the RFO.

10.2 The RFO is responsible for always obtaining value for money by ensuring as far as reasonable and practicable that the best available terms are obtained in respect of each transaction.

11 CONTRACTS

11.1 Where it is intended to enter into a contract exceeding £3000 in value for the supply of goods or materials or for the execution of works or specialist services the Clerk shall invite at least three tenders.

11.2 In accordance with good practice, no contract for the supply of goods or services shall be entered into with any person directly related to a member of the Council, or the Clerk.

11.3 When applications are made to waive financial regulations relating to contracts to enable a price to be negotiated without competition the reason shall be embodied in a recommendation to the Council.

11.4 Such invitation to tender shall state the general nature of the intended contract and the Clerk shall obtain the necessary technical assistance to prepare a specification in appropriate cases. The invitation shall in addition state that tenders must be addressed to the Clerk in the ordinary course of post.

11.5 All sealed tenders shall be opened at the same time at the next appropriate meeting of the Council.

11.6 If less than three tenders are received for contracts above £3000 or if all the tenders are identical the Council may make such arrangements as it thinks fit for procuring the goods or materials or executing the works.

11.7 The Council shall not be obliged to accept the lowest or any tender, quote or estimate.

12. PAYMENTS UNDER CONTRACTS FOR BUILDING OR OTHER CONSTRUCTION WORKS

12.1 Payments on account of the contract sum shall be made within the time specified in the contract by the RFO upon authorised certificates of the architect or other consultants engaged to supervise the contract (subject to any percentage withholding as may be agreed in the particular contract).

12.2 Where contracts provide for payment by instalments the RFO shall maintain a record of all such payments. In any case where it is estimated that the total cost of work carried out under a contract, excluding agreed variations, will exceed the contract sum of 5% or more a report shall be submitted to the Council.

12.3 Any variation to a contract or addition to or omission from a contract must be approved by the Council and Clerk to the Contractor in writing, the Council being informed where the final cost is likely to exceed the financial provision.]

13 INSURANCE

13.1 Following the annual risk assessment, the RFO shall affect all insurances and negotiate all claims on the Council's insurers.

13.2 The RFO shall keep a record of all insurances effected by the Council and the property and risks covered thereby and annually review it.

13.3 The RFO shall be notified of any loss, liability, or damage or of any event likely to lead to a claim and shall report these to Council at the next available meeting.

14 RISK MANAGEMENT

14.1 The council is responsible for putting in place arrangements for the management of risk. The RFO shall prepare, for approval by the council, risk management policy statements in respect of all activities of the council. Risk policy statements and consequential risk management arrangements shall be reviewed by the council at least annually.

17.2 When considering any new activity, the RFO shall prepare a draft risk assessment including risk management proposals for consideration and adoption by the council.

15 REVISION OF FINANCIAL REGULATIONS

15.1 It shall be the duty of the Council to review the Financial Regulations of the Council from time to time. The Clerk shall make arrangements to monitor changes in legislation or proper practices and shall advise the council of any requirement for a consequential amendment to these financial regulations.

Adopted: November 2022
Last Updated: ~~November 2023~~May 2025

Grindon and Thorpe Thewles Parish Council
Fixed Assets Register

Asset Reference	Date of Acquisition	Description	Location	Fixed Assets 1 Apr 24	Purchases in year	Disposals in year	Revaluations	Fixed Assets 31 Mar 25	Notes & Action Required
G&TT001		Stone Seat	South Entrance, by Old Rectory						
G&TT002		Stone Seat	South Entrance, by Old Rectory						
G&TT003		Stone Seat	Village Green, nr. Xmas tree						
G&TT004		Stone Seat	North End, nr. St James Close						
G&TT005		Stone Entrance Plinth	South Entrance, opp Old Rectory						
G&TT006	1996	Millennium Bench	Durham Road, opp.Hamilton Russell	£700.00				£700.00	Valued at purchase cost
G&TT007	1996	Metal/Wood bench	Village centre, Middle Bank	£700.00				£700.00	Valued at purchase cost
G&TT009	08/01/2010	Green Salt Bin	North Close	£150.00				£150.00	Valued at purchase cost
G&TT010	16/08/2010	Christmas Tree Lights	Stockton Borough Council	£756.00				£756.00	Valued at purchase cost
G&TT011	17/03/2017	Defibrillator	Parish Hall, Thorpe Thewles	£1,627.00				£1,627.00	Valued at purchase cost
G&TT012	23/05/2018	Posts and chains	Village Green (plastic chains freplace dwith metal in 2019)	£7,500.00				£7,500.00	Valued at purchase cost
G&TT013	20/02/2019	HP Laptop Printer and Software	c/o Clerk - July 2021	£700.00		(£700.00)		£0.00	Both disposed and replaced 2024/25
G&TT014	21/11/2019	Green Salt Bin	Durham Road	£50.00				£50.00	
G&TT015	27/02/2020	Remembrance Bench	Village Green	£955.00				£955.00	
G&TT016	26/06/2020	Christmas Tree Lights	Stockton Borough Council	£560.00				£560.00	
G&TT017	12/11/2021	Wooden Shed	Beside Grindon Parish Hall	£450.00				£450.00	
G&TT018	09/02/2023	2 x Green Aluminium Noticeboards	1 sighted on side of bus shelter 1 sighted on front of Parish Hall	£1,099.00				£1,099.00	Purchased from Earth Anchors. £494 each and £111 for header dome on noticeboard at bus shelter
G&TT019	17/07/2023	Wheeled Water Container	Stored in Shed	£280.00				£280.00	Purchased from Online Tank Store
G&TT020	21/07/2023	4 x Amphora Preeinct Planters	Village locations	£1,600.00				£1,600.00	Purchased from Amberol Ltd
G&TT021	18/11/2024	Salt Bin for Henley Drive	Henley Drive / Durham Road junction		£114.99			£114.99	
G&TT022	18/11/2024	HP Laptop	Clerk home address		£332.50			£332.50	
G&TT023	22/10/2024	4 x Village Signs	Village entrances		£6,140.00			£6,140.00	
G&TT024	01/02/2025	Brother Printer	Clerk home address		£133.33			£133.33	
			Total	**£17,127.00**	**£6,720.82**	**(£700.00)**	**£0.00**	**£23,147.82**	
								£23,147.82	

Land Owned by Grindon and Thorpe Thewles Parish Council

The Village Green and
three pockets of land CE225403 - Title Deed Number

Grindon & Thorpe Thewles Parish Council
May 2025
Risk Assessment and Management Report of the Clerk to the Council

The Parish Council has a duty to have in place a system to help it to manage risk and to review the scheme from time to time. The following table outlines the areas of risk and the control and improvements required:

Area	Risk	Level	Measure to Control Risk
Assets	Risk of loss of assets	Low	Assets Register maintained: 2 Notice Boards; Planters around the village; 7 benches around village; Posts & chains around village green; Salt bins; Defibrillator and Storage Shed at Parish Hall. 4 village signs. Laptop and printer kept at home by Clerk. Christmas Tree lights stored with SBC. Regular checks on physical assets by Members. **All assets/risks covered by insurance**
	Risk or damage to third party property or individuals	Low	Annual review of adequacy of Public Liability Insurance
	Reduced value of assets or amenities – loss of income or performance	Low	Annual maintenance inspection
Finance	Risk of loss of income	Low	Income sources mainly Precept. Grants applied for only for specific extra activities/assets. Social Activity aimed at being self-funded overall
	Inadequate financial controls and records	Low	Financial Regulations in place. Procedure for dealing with financial transactions in place. On-line banking controls; Cheques banked within 7 days of receipt. Monthly Bank Reconciliation and Budgetary control reports prepared by Clerk. Two authorisers in addition to clerk for all payments; ~~signatures required on all cheques; no cheques signed without accompanying invoice.~~
	Loss of cash through theft or dishonesty	Low	~~Postage stamps kept in locked tin at Clerk's home.~~ Fidelity Guarantee up to £30,000. Any cash received checked by 2 unrelated persons and banked; bank statements checked for confirmation of cash banked. Cash handling kept to minimum as per needs
	Incorrect / inappropriate ~~Cheque~~ payments	Low	Payments approved ~~Cheques signed~~ by 2 Councillors against evidenced invoices and Parish Council decisions. Division of duties with Clerk not able to proves payments. ~~uthorised as cheque signatory~~. Financial transactions reported to each Parish Council meeting. Amounts are verified by checks made to bank statements. Regular bank reconciliations
	Salary is overpaid	Low	Clerk has contract with hours and NJC rate clearly identified; any increases in salary recorded in minutes. Personnel Committee oversee all Clerk matters and report to full Council. Salary reported as part of monthly Receipts and Payments data
	Invoices incorrectly paid or paid in error	Low	All invoices checked to orders and Parish Council decisions / resolutions before paid. Clerks checks arithmetic on invoices and goods received/services provided

Area	Risk	Level	Measure to Control Risk
			as pe expectations before writing cheques. Payments approved ~~Cheques signed~~ and validated by two Councillors. ~~signatories.~~ Financial Regulations include arrangements for payments
	Value for money is not achieved	Low	At least three quotations must be sought for any goods or services that will cost over £3000 as per Financial Regulations
	Non-Compliance with HMRC Regulations	Low	PAYE is calculated via on-line PAYE Tools, and required amount paid to HMRC – bank statements show payments. VAT payments and claims calculated by Clerk; open to inspection by Members and checked by Internal Auditor.
	Lack of budgeting to underpin Annual Precept	Low	Clerk prepares detailed Annual Budget options for decision and Approval by Parish Council. Precept is derived from the agreed Budget and Income and Expenditure reported monthly against budget.
	Non-compliance with Borrowing Restrictions	Low	No borrowing requirement foreseen.
	Grants used incorrectly	Low	Clerk ensures grant is used only for purpose given and that proper records are kept of all invoices/spend related to grant; reports on spend/outcomes to grantor.
	Reserves are not adequate / appropriate	Low	Position considered at Budget setting
Staff	Loss of key personnel	Low	Personnel Committee oversee all Clerk matters and undertake Annual Appraisal; members ensure Clerk has access to appropriate support/training.
Liability	Risk to Third Party, property or individuals	Low	Public Liability Insurance in place. Existing cover up to £10 Million.
	Libel and slander	Low	Members and clerk - standard cover up to £250.000
	Non-compliance with Employment Law	Low	Policies and Procedures in place. Advice sought from National Association of Local Councils and/or SLCC when required.
	Non-compliance with Inland Revenue requirements	Low	Clerk declares income to Inland Revenue on an annual basis. PAYE payments made quarterly on-line and checked annually by Internal Auditor
	Safety of staff and visitors	Low	No Parish Council office; Clerk works from own home
Legal Liability	Activities not within legal powers	Low	Clerk clarifies legal position on any new proposal. Legal advice sought when necessary.
	Lack of Proper and timely reporting via minutes	Low	Council meets monthly except August and receives & approves minutes which are circulated to all members; draft Minutes placed on Parish Council Notice Board and website within 7 working days of each meeting.
	Lack of proper document control	Low	Legal documents kept by Clerk. Key documents to be stored at bank or solicitors. Compliance with the Data Protection Act and Freedom of Information Act.
Councillor Propriety	Lack of information on Councillor interests, or on gifts or hospitality received	Low	Register of Interests and gifts and hospitality in place and maintained. Members to declare any gifts or hospitality as and when received.

Outlook

Your Zurich Parish policy is due for renewal

From renewals.team@uk.zurich.com <renewals.team@uk.zurich.com>
Date Thu 17/04/2025 09:30
To thorpe.grindon@outlook.com <thorpe.grindon@outlook.com>

ZURICH Municipal

Policy Holder: Grindon and Thorpe Thewles Parish Council (Stockton-on-Tees)
Policy Number: YLL-2720927563
Renewal Date: 12th June 2025

Thank you for insuring Grindon and Thorpe Thewles Parish Council (Stockton-on-Tees) with us for the past year. Your policy is due for renewal and your renewal premium is £300.00 including tax.

This price is based on your precept value of £10,000-£20,000. If this is incorrect, please call us on 0800 917 9531 (Option 1).

Changes to your policy
Your limit of indemnity for Libel and Slander is £250,000. There is no additional premium due for this as it was incorrectly shown as £100,000 on your schedule last year.

Renewing your policy is easy, simply:

- Log in to your customer account here
- View and download your documents
- Check all your documents carefully
- Pay for your insurance, using the details shown on your invoice

To ensure your cover continues, please pay your renewal premium by bank transfer or cheque by 12th June 2025. Full details on how to pay can be found on your invoice.

If you have any questions or need to make any changes to your policy, please don't hesitate to contact us.

Kind regards,

Your Renewal Quote

Insurer
Zurich Insurance Company Ltd

Period of Cover
12/06/2025 - 11/06/2026

Policy No.
YLL-2720927563

Renewal Premium

£300.00